PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:
A61M 25/00
A2 (11) International Publication Number: WO 97/44082
(43) International Publication Date: 27 November 1997 (27.11.97)

(21) International Application Number: PCT/US97/08467

(22) International Filing Date: 19 May 1997 (19.05.97)

(30) Priority Data:

08/650,464 20 May 1996 (20.05.96) US 08/813,023 6 March 1997 (06.03.97) US

(60) Parent Application or Grant

(63) Related by Continuation

US 08/ Filed on 6 March 1

08/813,023 (CIP) 6 March 1997 (06.03.97)

(71) Applicant (for all designated States except US): PER-CUSURGE, INC. [US/US]; 777 N. Pastoria Avenue, Sunnyvale, CA 94086 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BAGAOISAN, Celso, J. [-/US]; 4441 Pomponi Street, Union City, CA 94587 (US). HA, Hung, V. [-/US]; 2359 Denair Avenue, San Jose, CA 95122 (US). PATEL, Mukund, R. [-/US]; 427 Ridgefarm Drive. San Jose, CA 95123 (US). ZADNO-AZIZI, Gholam-Reza [-/US]; 8213 Del Monte Avenue, Neward, CA 94560 (US).

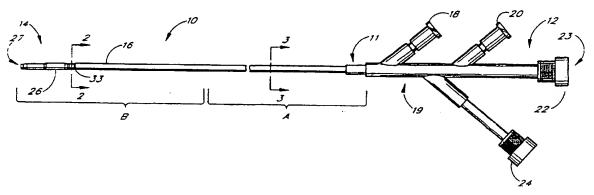
(74) Agent: ALTMAN, Daniel, E.; Knobbe, Martens, Olson and Bear, 16th floor, 620 Newport Center Drive, Newport Beach, CA 92660 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, Cl, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

Without international search report and to be republished upon receipt of that report.

(54) Title: CATHETER FOR EMBOLI CONTAINMENT SYSTEM



(57) Abstract

Disclosed herein is a catheter for use in an emboli containment system. In one embodiment, the catheter has a tubular body with a metallic braid construction. Two lumen extend through the tubular body, the lumen being in a side-by-side configuration. One of the lumen functions as an inflation lumen, and is in fluid communication with an inflatable balloon mounted on the distal end of thecatheter. The second lumen is adapted to receive other therapeutic catheters which comprise the emboli containment system.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan -	GB	United Kingdom	MC	Монасо	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	15	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy.	MX	Mexico	UZ	Uzbekistan
CF.	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NI.	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
ĊМ	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

10

15

20

25

CATHETER FOR EMBOLI CONTAINMENT SYSTEM

Background of the Invention

The present invention generally relates to medical devices, and in particular, to catheters which can be used in an emboli containment system.

Balloon angioplasty, and other transluminal medical treatments, are well-known, and have been proven efficacious in the treatment of stenotic lesions in blood vessels. The application of such medical procedures to certain blood vessels, however, has been limited, due to the risks associated with creation of emboli during the procedure. For example, angioplasty is not the currently preferred treatment for lesions in the carotid artery, because of the possibility of dislodging plaque from the lesion, which can enter the various arterial vessels of the brain and cause permanent brain damage. Instead, surgical procedures are currently used, but these procedures present substantial risks.

One solution to this problem is the use of a multi-catheter emboli containment system, as disclosed in application Serial No. 08/650,464. As disclosed therein, a treatment chamber within a blood vessel is formed by two occlusion balloons on opposite sides of a stenotic lesion, thereby preventing emboli migration during the treatment procedure. The chamber is created by two occlusion balloon catheters which are slidably disposed with respect to one another.

Emboli containment procedures of this type are advantageous, because they permit the clinician to utilize the benefits of transluminal treatment in a wider variety of blood vessels. However, the procedures require the complex coordination of multiple catheters. Consequently, it is desirable to have catheters which make it easier for the clinician to utilize an emboli containment system. It is also desirable that the catheters used in the emboli containment system have a high degree of flexibility, to navigate tortuous blood vessel networks.

Consequently, there exists a need for improved emboli containment catheters. This is especially true in the context of the "main" catheter, through which other catheters are inserted and controlled to form the emboli containment system.

Summary of the Invention

The present invention advantageously provides as a main catheter an occlusive device adapted for use in a multi-catheter emboli containment system. In one aspect of the present invention, there is provided a catheter, comprising an elongate flexible tubular body having a proximal end and a distal end. The tubular body incorporates a metallic member, which may comprise a braid or a coil. A main lumen and an inflation lumen extend through the tubular body, and are in a side-by-side configuration. The main lumen is sized to receive a therapeutic and/or diagnostic device such as a balloon angioplasty catheter or an atherectomy catheter. The tubular body is provided with a manifold. The manifold has an aspiration port which is in fluid communication with the main lumen. The distal end of the tubular body also has a tip formed of a more flexible material than that used to form the tubular body.

In one preferred embodiment, an inflatable balloon is mounted on the distal end of the tubular body. An inflation port is also provided on the manifold in this embodiment. The inflation port is in fluid communication with

the inflation lumen. In this embodiment, the inflatable balloon is formed of a block copolymer of styrene-ethylene-butylene-styrene.

In another preferred embodiment, the metallic braid or coil is formed of a metal selected from the group consisting of 304, 316, or 400 series stainless steel, nitinol, platinum, gold, Elgiloy (TM), or combinations thereof. Where a metallic braid is used, it may optionally have a braid density at a first point on the tubular body that is greater than the braid density of the metallic braid at a second point on the tubular body by at least 20 picks per inch. Similarly, where a metallic coil is used, it may optionally have a coil density at a first point on the tubular body that is greater than the coil density at a second point on the tubular body.

In another aspect of the present invention, there is provided a catheter comprising an elongate flexible tubular body having a proximal end and a distal end. Alternatively, there may be provided a circular cross-sectional configuration at the proximal end which is continuous with a distal end having a reduced internal and outer tubular body diameters. A first and second lumen extend through the tubular body from the proximal end to the distal end in a side-by-side configuration. The first lumen has a generally circular cross-sectional configuration at the proximal end and a generally oval cross-sectional configuration at the distal end. The second lumen has a diameter no smaller than 0.05 inches, preferably no smaller than 0.08 inches, and is adapted to slidably accommodate a therapeutic or diagnostic device.

In one preferred embodiment, an inflatable balloon is mounted on the distal end of the tubular body. The inflatable balloon is in fluid communication with the first lumen, such that fluid passing through the first lumen may be used to inflate or deflate the inflatable balloon. The second lumen size may vary in certain embodiments, such that in one embodiment, the second lumen has a diameter no smaller than about 0.05 inches, and is preferably no less than 0.080 inches.

In another aspect of the present invention, there is provided a catheter with variable stiffness, comprising a tubular body having a proximal end and a distal end. A metallic member, such as a braid or metallic coil is within the tubular body. In one embodiment, the proximal end of the tubular body has a lower braid or coil density than the distal end. In another embodiment, the braid or coil density is kept constant along the length of the tubular body, and the tubular body is formed of materials with greater stiffness at the proximal end. In another embodiment, a combination of braids and coils of varying density can be used at various points along the tubular body, to create a catheter tubular body having a more flexible distal end.

In another aspect of the present invention, there is provided a method of making a catheter tubular body. The method comprises providing a first polymeric tube formed of a first material having a first melting point. The first polymeric tube is then inserted into a second polymeric tube to form a combined tube. The second polymeric tube is formed of a second material having a second melting point which is less than the first melting point. The combined tube is then placed adjacent to a third tube. The third tube is formed in part of the second material. The tubes are then heated to a temperature greater than the second melting point but less than the first melting point, such that the combined tube melt fuses with third tube to form a catheter tubular body having two lumen extending therethrough in a side-by-side configuration. The first material may be selected from the group comprising polyimide,

5

10

15

20 .

25

30

10

15

20

25

30

35

polyamide, PET and PEEK, blends thereof and the second material may be selected from the group comprising Pebax (TM), polyethylene, nylon, or Hytrel (TM) or blends thereof. Preferably, the temperature of the heating step is from about 250° to 600°F. It is also preferred that the third tube incorporate a metallic member, such as a braid or coil.

A further aspect of the invention includes a catheter comprising an elongate tubular body having at least three regions including a distal region, a proximal region, and a transition region between said proximal and distal regions. The proximal region has a stiffness greater than that of the distal region, which is between that of said proximal and distal regions. In one embodiment, the transition region is at least 5 cm in length. Preferably, the transition region comprises plural sections which decrease in stiffness from a proximal end of the transition region to a distal end of the transition region.

Yet another aspect of the invention includes a catheter comprising an elongate flexible tubular body having a proximal end and a distal end. A main lumen sized to receive a therapeutic and/or diagnostic device extends through the tubular body. An occlusive device is provided on the distal end of the tubular body, and a tip on the tubular body, distal of the occlusive device, is formed of a more flexible material than that which forms the tubular body. The tip is bent at an angle relative to said tubular body. In the preferred embodiment, the occlusive device comprises a complaint balloon, and the tubular body further comprising an inflation lumen for inflating the balloon.

Brief Description of the Drawings

Figure 1 is a side view of an embodiment of the catheter of the present invention.

Figure 1A is a side view of an alternative embodiment of the catheter of the present invention.

Figure 2 is a cross-sectional view of catheter of Figure 1 along lines 2-2.

Figure 3 is a cross-sectional view of the catheter of Figure 1 along lines 3.3.

Figure 4 is a longitudinal cross-sectional view of the distal end of the catheter of Figure 1.

Figure 5 is an enlargement of the region circumscribed by lines 5-5 of the catheter of Figure 4.

Figure 6 is an illustration of the catheter of the present invention as used in an emboli containment system.

Figure 7 is a cross-sectional view of the emboli containment system of Figure 6 along lines 7-7.

Figure 8 is a cross-sectional view of the emboli containment system of Figure 6 along lines 8-8.

Figure 9 is a cross-sectional view of the emboli containment system of Figure 6 along lines 9.9.

Figures 10A-E illustrate the use of an embodiment of the catheter of the present invention in an emboli containment treatment procedure.

Detailed Description of the Preferred Embodiment

Referring to Figure 1, there is depicted an embodiment of the balloon catheter of the present invention. Although illustrated and described below in the context of an emboli containment system featuring balloon dilatation treatment of a stenotic lesion, it is to be understood that the present invention can be easily adapted to a variety of emboli containment treatment applications. For example, the present inventors contemplate that the catheter of the present invention can be used in emboli containment treatment procedures which include atherectomy, stent implantation, drug delivery, as well as other applications. Furthermore, although depicted and described as a two lumen catheter, it should be appreciated that the present invention may also be adapted to catheters having more

WO 97/44082 PCT/US97/08467

than two lumen. The manner of adapting the present invention to these various treatments and structures will become readily apparent to those of skill in the art in view of the description which follows.

Catheter 10 generally comprises an elongate flexible tubular body 16 extending between a proximal control end 12 and a distal functional end 14. Tubular body 16 has a main lumen 30 which extends between ends 12 and 14. Main lumen 30 terminates in a proximal opening 23 and a distal opening 27. A smaller inflation lumen 32, configured in a side-by-side relationship with main lumen 30, extends along the length of tubular body 16 and may terminate within or near an occlusion balloon 26 mounted on the distal end 14 of catheter 10, as described below. Inflation lumen 32 is in fluid communication with balloon 26, such that fluid passing through inflation lumen 32 may be used to inflate or deflate balloon 26. In some embodiments, the inflation lumen may originate at a point distal to the proximal end 12, and extend distally from that point in a side-by-side configuration with main lumen 30.

In some embodiments, instead of an occlusion balloon 26, distal end 14 is provided with a mechanical occlusive device such as a pull-wire activated braid which filters all particles larger than 12 microns. Alternatively, other occlusive filtering devices may also be used, as is known by those of skill in the art.

A control manifold 19 is provided at the proximal end 12 of catheter 10. Control manifold 19 is generally provided with a number of ports to provide access to the catheter lumen. For example, for the embodiment depicted in Figure 1, control manifold 19 is provided with a catheter end-access port 22 and a catheter side-access port 24, to provide an introduction point for the insertion of other catheters into lumen 30. Ports 22 and 24 are preferably provided with standard Touhy Borst connectors, although other sealing type connectors, such as a hemostasis valve, may be used. Manifold 19 is also provided with an aspiration port 20 which is in fluid communication with lumen 30, for attachment of devices to aspirate fluid into opening 27, through lumen 30, and out port 20. An inflation port 18, in fluid communication with lumen 32, is further provided on manifold 18 for attachment of devices to inflate or deflate balloon 26. In one preferred embodiment, ports 18 and 20 are provided with standard luer connectors, to facilitate attachment of standard inflation or aspiration apparatus, respectively, to ports 18 and 20. Other embodiments of catheter 10 may feature more or less ports, depending upon the number of lumen in the catheter and the desired functionalities of the catheter.

Manifold 19 is preferably formed out of hard polymers or metals, which possess the requisite structural integrity to provide a functional access port to the catheter lumen, such as for balloon inflation or fluid aspiration. In one preferred embodiment, manifold 19 is integrally formed out of medical grade polycarbonate. Other suitable materials may be used to form manifold 19, such as polyvinyl chloride, acrylics, acrylonitrile butadiene styrene (ABS), nylon, and the like.

Manifold 19 is attached to tubular body 16 so that the various ports are placed in communication with the appropriate lumen, as described above in connection with Figure 1. Preferably, a strain relieving connector 11 is used to join manifold 19 to tubular body 16. For the embodiment depicted in Figure 1, strain relieving connector 11 consists of a length of flexible polymeric tubing, such as 40 durometer (D) Pebax (TM), or other polyether block amides, and other similar materials. Tubular body 16 is inserted in one end of strain relieving connector 11, and the other end of strain relieving connector 11 is inserted into manifold 19. Suitable adhesives, such as a

5

10

15

20

25

30

10

15

.20

25

30

35

cyanoacrylate, epoxies, or uv curable adhesives, may be used to bond manifold 19 to strain relieving connector 11. Alternately, manifold 19 may also be insert molded with the tubular body 16, as is known by those of skill in the art. Adhesives may also be used to bond the strain relieving connector 11 to tubular body 16, or alternately, conventional heat bonding, as known to those of skill in the art, may be used to attach tubular body 16 to strain relieving connector 11.

The length of tubular body 16 may be varied considerably depending upon the desired application. For example, where catheter 10 is to be used as part of an emboli containment system for treatment of carotid artery disease, with catheter 10 being introduced at the groin, the length of tubular body 16 may range from 80 to 110 centimeters, and is preferably 95 cm. Other treatment procedures, requiring a longer or shorter tubular body 16, are easily accommodated by the present invention, by forming a tubular body 16 of the desired length during the manufacturing process.

The outer diameter of tubular body 16 may also be varied considerably, and in most cases, will depend upon the intended treatment procedure for which catheter 10 will be used. That is, the outer diameter of tubular body 16 must be large enough to be capable of forming a main lumen 30 which can slidably accommodate the other catheters used in the emboli containment system, as described in detail below. However, the outer diameter of tubular body 16 must also be smaller than the internal diameter of smallest blood vessel through which catheter 10 passes during the selected treatment procedure. In general, the diameter of main lumen 30 may range from at least about 0.05 inches to about 0.12 inches, and be capable of accommodating many types of catheters to be used therein, while still maintaining a low profile for the diameter of tubular body 16.

For many treatment applications, it has been found that a tubular body having an outside diameter of no more than about 0.135 inches (10 French) is preferred. Advantageously, with an outer diameter of this size, main lumen 30 may have an internal diameter of about 0.10 inches, making lumen 30 capable of accommodating a wide variety of treatment catheters, or catheters used for diagnostic purposes. Of course, as will be appreciated by those of skill in the art, where the catheters intended to be inserted into lumen 30 are known to have outer diameters significantly smaller than 0.10 inches, such that lumen 30 may be smaller than 0.10 inches and still accommodate them, a tubular body 16 having an outer diameter of less than 0.135 inches may be selected.

Although not required, the interior surface of lumen 30 may be provided with a liner 35 formed of a lubricous material, to reduce the frictional forces between the lumen surface and the catheters which are inserted into lumen 30. In one preferred embodiment, liner 35 is formed out of polytetrafluoroethylene (PTFE). Lubricous materials other than PTFE, which are biocompatible, fairly flexible, and easily mounted to other polymeric materials of the type used to form catheter tubular bodies, may also be used to form liner 35. Examples of such materials include polyethylene, Pebax (TM), nylon, and the like. Where increased flexibility of the distal end 14 of catheter 10 is desired, Pebax (TM) may be used in place of PTFE along a selected portion of distal end 14, such as the distal most 15-20 cm of end 14.

To minimize the outer diameter of tubular body 16, it is preferable that inflation lumen 32 be as small as possible in accordance with its function. That is, inflation lumen 32 is preferably no larger than required to provide

10

15

20

25

30

35

sufficient fluid to balloon 26 for rapid inflation, or so that fluid may be quickly withdrawn from balloon 26 during deflation. For compliant expansion balloons of the type described below, inflation lumen diameters of from about 0.008 inches to about 0.018 inches are satisfactory, with a diameter of about 0.014 inches being preferred for some applications.

Furthermore, in one embodiment, as illustrated in Figures 1-3, the outer diameter of tubular body 16 just proximal to balloon 26 is minimized by providing an inflation lumen 32a with an oval cross-sectional configuration, as illustrated in Figure 3. Preferably, inflation lumen 32a has an oval cross-sectional configuration which extends proximally from the proximal edge balloon 26 by a distance of at least 0.1 cm, more preferably 1 cm, and optimally by a distance equal to the length of tubular body 16. For ease of manufacturing, the cross-sectional configuration of lumen 32 at points further proximal to balloon 26 may be generally circular, as illustrated in Figure 2. Where the lumen configuration differs from proximal to distal end, as illustrated in Figures 2 and 3, a region of transition 33 is provided wherein the lumen configuration changes from circular to oval.

It will be appreciated by those of skill in the art that other cross-sectional configurations of lumen 32a may be provided and still function to reduce the profile of tubular body 16. For example, triangular, rectangular, or other non-oval cross sectional configurations are easily adapted to lumen 32a, and the manner of incorporating such alternative cross-sectional configurations will be readily apparent to those of skill in the art in view of the description which follows.

A variety of different manufacturing methods may be used to alter the cross-sectional configuration of lumen 32, as will be appreciated by those of skill in the art. In one preferred method, lumen 32 is formed of a polymeric tube, such as a polyimide tube, which has been compressed at one end so that it has the desired oval shape. The polyimide tube is then inserted into a second tube formed of a material having a lower melting point than polyimide, such as 72D Pebax (TM). The combination is then heat bonded to another tube defining main lumen 30, such as a braided Pebax (TM) tube, as described below. The heat bonding takes place at a temperature greater than the melting temperature of Pebax (TM), but less than the melting temperature of polyimide, so that the Pebax (TM) tubes melt fuse to form the two lumen tubular body.

Alternately, the cross-sectional configuration, as well as the cross-sectional area of lumen 32, may also be altered by joining two separate polymeric tubes together to form a continuous inflation lumen 32. One of the tubes, corresponding to the proximal end of catheter 10 as shown in Figure 3, may have a circular cross-sectional configuration. The second tube, corresponding to the distal end of catheter 10 as shown in Figure 2, has an oval configuration. One end of a mandrel may be inserted into each of the tubes, and conventional heat bonding may be used to create the cross-sectional configuration transition. As before, the combined tube may then be heat bonded to a second tube defining main lumen 30 to form tubular body 16.

As illustrated in Figure 1, an inflatable balloon 26 is mounted on the distal end 14 of catheter 10. In most applications where catheter 10 is to be used in an emboli containment treatment procedure, inflatable balloon 26 will function as an occlusion balloon, to prevent blood from passing through the blood vessel distal of balloon 26. Thus, inflatable balloon 26 is preferably able to expand to fit a variety of different blood vessel diameters.

Accordingly, it is preferred that inflatable balloon 26 have a compliant expansion profile, tending to increase in radial diameter with increasing inflation pressure. To achieve this, balloon 26 may be made out of materials which impart such expansion characteristics, including elastomeric materials such as latex or silicone. In one preferred embodiment, inflatable balloon 26 is formed out of a material comprising a block copolymer of styrene-ethylene-butylene-styrene, sold under the trade name C-Flex (TM). Further details as to balloons of this type are disclosed in our copending application entitled PRE-STRETCHED CATHETER BALLOON, Serial No. 08/812,139, filed on March 6, 1997, the entirety of which is incorporated by reference.

Inflatable balloon 26 may be placed in fluid communication with lumen 32a via a fill hole (not shown) extending through tubular body 16 within balloon 26, such that fluid may be introduced into tumen 32 through inflation port 18 to inflate balloon 26. Alternately, lumen 32a may terminate within balloon 26, to provide the requisite fluid communication. Balloon 26 may be attached to tubular body 16 by any suitable manner known to those of skill in the art, such as adhesives or heat bonding.

Tubular body 16 must have sufficient structural integrity, or "stiffness," to permit catheter 10 to be advanced through vasculature to distal arterial locations without buckling or undesirable bending of tubular body 16. However, it is also desirable for tubular body 16 to be fairly flexible near distal end 14, so that tubular body 16 may be navigated through tortuous blood vessel networks. Thus, in one preferred embodiment, tubular body 16 is made to have variable stiffness along its length, with the proximal portion of tubular body 16 being less flexible than the distal portion of tubular body 16. Advantageously, a tubular body 16 of this construction enables a clinician to more easily insert tubular body 16 into blood vessel networks difficult to reach by a tubular bodies having uniform stiffness. This is because the stiffer proximal portion provides the requisite structural integrity needed to advance tubular body 16 without buckling, while the more flexible distal region is more easily advanced into and through tortuous blood vessel passageways.

In one preferred embodiment, variable stiffness along the length of tubular body 16 is achieved by forming a polymeric tubular body 16 which incorporates along its length a variable stiffness metallic member. The metallic member may comprise a braid or coil, and may have varying braid density or coil pitch at different points along the catheter tubular body. For example, as shown in Figures 2 and 3, tubular body 16 may be provided with a braid 36 incorporated into the wall structure of tubular body 16. Referring to Figure 1, to achieve variable stiffness, proximal region A of catheter 10 is provided with a metallic braid 36 having a lower braid density than that present in the metallic braid 36a of distal region B. The lower braid density of proximal region A permits polymer flow in between the braids during the formation of the tubular body. Because the polymer is relatively stiffer than the braid, the lower braid density results in proximal region A being less flexible, or "stiffer", than distal region B. In one preferred embodiment, the braid density of proximal region A varies from 60 to 80 picks per inch, while that of region B varies from 90 to 110 picks per inch.

As will be appreciated by those of skill in the art, metallic members other than braids may be incorporated into tubular body 16 to create variable stiffness. For example, a metallic coil may be introduced into tubular body 16. The coil may have different pitch along the length of tubular body 16, such that region A is provided with a

5

10

15

20

25

30

coil having a lower pitch than that present in region B. The manner of adapting a coil, and other metallic members, to the catheter tubular body in place of a braid will become readily apparent to those of skill in the art in view of the description which follows.

The precise density of the braiding provided to regions A and B can be varied considerably at the point of manufacture, such that catheters having a variety of different flexibility profiles may be created. Moreover, the braid density may be varied within catheter regions A and B as well, by providing a metallic braid which has a braid density gradient along its length. For example, the most proximal part of region A may be provided with a metallic braid 36 having a braid density of about 60 picks per inch, with the braid density increasing distally at a certain rate so that the final pick count is not more than 110 picks per inch at the distal end.

A variety of different metals, known to be ductile and shapeable into fine wires and flat ribbons, having a diameter of about 0.0005 inches to about 0.005 inches for wires, or the same thickness for a ribbon, may be used to form the metallic braids 36 and 36a or metallic coils. For example, stainless steel, platinum, gold and nitinol, or combinations thereof are all suitable metals. In one preferred embodiment, braid 36 is formed of stainless steel, and has a braid density which varies from 70 picks per inch at the most proximal part of region A, to 100 picks per inch at the most distal part of region B.

Metallic braids 36 may be introduced into the structure of tubular body 16 through conventional catheter forming techniques. For example, tubular body 16 may be formed by braiding over a 72D Pebax (TM) tube that has a removable core mandrel in the internal diameter supporting the Pebax (TM) tube, and then inserting the braided tube into a 72D Pebax (TM) outer tube at the proximal region A and a 35D Pebax (TM) tube at the distal region B, so that the braid is sandwiched between the inner and outer tubes. A stainless steel support mandrel may be inserted into the removable core mandrel as additional support. A shaping container such as a fluorinated ethylene propylene (FEP) shrink tube is inserted over the outer Pebax (TM) tube, and the entire apparatus may then be placed in a hot box or oven kept at a temperature slightly greater than the melting temperature of the Pebax (TM) tubes. The Pebax (TM) tubes will melt and fuse together, and once cooled, will form a tubular body incorporating the metallic braid. The shaping container and mandrels may then be removed and discarded.

In another embodiment, variable stiffness of tubular body 16 may be achieved by forming regions A and B of tubular body 16 out of polymeric materials having differing degrees of stiffness. For example, one half of an inner tube of 72D Pebax (TM) may be inserted into an outer tube of 35D Pebax (TM), and the other half of the inner tube may be inserted into a 72D Pebax (TM) outer tube. The combination may then be heat fused, as described above. The 35D/72D Pebax (TM) combination forms a more flexible tubular body than the region 72D/72D Pebax combination. More or less flexible materials may be used as desired to alter the flexibility of the resulting tubular body. Furthermore, the flexibility of the various regions of a tubular body formed in this manner may be varied further by incorporating a metallic member having either a uniform density, or a varying density, into the tubular body, as described above.

In another preferred embodiment, variable stiffness along the length of the tubular body may be achieved by using different metallic members in regions A and B. For example, proximal region A may be provided with a

5

10

15

20

25

30

multilayer coil, while distal region B may be provided with a braid. Alternately, proximal region A may be provided with a metallic braid, while distal region B may be provided with a single layer coil. As discussed above, the densities of the metallic members in the respective sections may be varied considerably to select for a desired variable stiffness profile, as will be appreciated by those of skill in the art.

5

In one preferred embodiment, variable stiffness along the length of the tubular body is achieved by keeping the braid density constant along the length of tubular body 16 and then forming the proximal and distal portions of tubular body 16 of polymeric materials of differing stiffness. For example, braid density may be uniform and range from 60-100 picks/inch, more preferably be about 70-90 picks/inch, with region A being formed of 72D Pebax (TM) and region B being formed of 25-50D Pebax (TM). Alternately, region A can be formed of high density polyethylene and region B of low density polyethylene.

Moreover, any of a variety of different polymeric materials known by those of skill in the art to be suitable for catheter body manufacture may be used to form tubular body 16. For example, tubular body 16 may be formed out of Pebax (TM), blends of Pebax (TM), and nylons, polyetheretherketone (PEEK), polyethylenes, and Hytrel (TM),

and the like. Different materials might also be combined or blended to select for desirable flexibility properties.

15

10

Also, although tubular body 16 has been described in the context of having two regions of differing flexibility, it will be readily appreciated by those of skill in the art that three or more regions of differing flexibility may easily be provided, by adapting the teachings contained herein. For example, referring to Figure 1A, there is shown a catheter 50 having a proximal end 62, a distal end 64, and a tubular body 66 extending between the proximal and distal ends. A control manifold 69 may be provided at proximal end 62. Tubular body 66 is divided into three regions, namely a proximal region A', a transition region B', and a distal region C'. The various regions of catheter 50 may incorporate any of the structural and functional features described previously for catheter 10, such as dual lumen and dilatation balloons and may be manufactured in accordance with the teachings for that used to manufacture catheter 10.

25

20

In one preferred embodiment, proximal region A' of catheter 10 is formed of material less flexible than that used to form transition region B' and distal region C'. For example, proximal region A' may be formed out of different types of relatively stiff biocompatible polymeric materials such as 63D to 85D Pebax (TM) or Pebax/nylon blend, and preferably, 720 Pebax/nylon blend. Other materials, such as those described above in connection with catheter 10, may also be used. In addition, the tubular body 68 of proximal region A' may incorporate a metallic braid or coil along its length, of either uniform density or varying density, as described above. For example, in one preferred embodiment, an inner lubricous liner of PTFE is surrounded by a metallic braid weaved into a diamond pattern, and having a density of about 90 picks/inch. The inner liner/braid combination is then inserted into an outer tube of 72D Pebax/nylon blend, and the combination is heat fused to form proximal region A'. While there is no minimum stiffness requirement for the materials used to form region A', the materials forming this region should be from about 25 to about 40 % stiffer than the materials used to form segment B1 of the transition region B', as discussed below.

35

As shown in Figure 1A, the transition region B' of catheter 50 is attached to and immediately distal to proximal region A'. The tubular body of transition region B' has five segments B1-B5 formed of materials having differing degrees of flexibility. In one preferred embodiment, each segment distal of segment B1 is made from a material which is more flexible than the segment immediately proximal to it. For example, if segment B1 is formed of 63D Pebax (TM), it is preferred that segment B2 be formed from a more flexible material, such as 55D Pebax (TM), and segment B2 of a more flexible material still, such as 40D Pebax (TM), and so forth, such that segment B5 is formed of materials which are more flexible than those used to construct any of segments B1-B4. Preferably, the durometer of each succeeding distal segment in the transition region B' is from about 8 to about 10 % lower than the segment immediately proximal to it.

It is preferred that a minimum of two transition segments be provided, and for ease of manufacturing, a maximum of five transition segments be used. However, as will be appreciated by those of skill in the art, certain catheter embodiments intended for a particular treatment may require more than five transition segments. It should be understood that although the embodiment of Figure 1A is described with five transition segments, more or less than five segments may easily be provided by adapting the teachings contained herein.

The transition region of the present invention may extend over different lengths of tubular body 66, as will be appreciated by those of skill in the art. In one preferred embodiment, the transition region extends over a region of from about 5 to 15 cm., more preferably 5 to 10 cm. Within the transition region, it is also preferred that each transition segment may extend from 1 to 6 cm, more preferably from 2-3 cm. Transition segments are joined to each other, and to proximal region A' and distal region C', by heat fusing, as described above.

Referring to Figure 1A, distal region C' bears the functional element of catheter 50, which, as depicted in Figure 1A, is preferably a balloon 76, identical to balloons described previously herein. Distal region C' is preferably formed from a material which is more flexible than that of the distal most transition segment B5. For example, if transition segment B5 were formed of 40D Pebax (TM), it is preferred that distal region C' be formed of 30 - 40D Pebax (TM), and more preferably 35D Pebax (TM). It is also preferred that distal region C' be from about 5 to about 15 cm in length, and more preferably from about 5 to 10 cm in length.

As shown in Figure 1A, catheters of the preferred embodiments may also be provided with a tip 80 which is bent with respect to the tubular body 76 at a location distal to the balloon 76. Advantageously, bent tip 80 facilitates navigation of catheters of the present invention though blood vessel pathways. The degree of bend of tip 80 may range from about 10 to about 30 degrees, more preferably about 20 to about 30, and optimally is about 30 degrees. It is also preferred that tip 80 not have a sharp bend, but instead feature a gradual curvature, as is shown in Figure 1A. For example, tip 80 may bend over a length of about 2-5cm, and have a radius of curvature of 0.5-2 inches. It is preferred that tip 80 begin bending within about 1-7cm from its end, more preferably within 2-5cm from its end, and optimally 2-3cm from its end.

In the above-discussed embodiments, and all other embodiments of the present invention, it may be preferred to provide main lumen 30 and the outer surface of tubular body 16 with a hydrophilic coating, a hydrophobic coating, or combinations thereof. For example, main lumen 30 may be provided with a hydrophobic coating, such as silicone,

10

5

20

25

35

10

15

20

25

30

while tubular body 16 is provided with a hydrophilic coating, such as polyvinyl pyrrolidone (PVP), polyurethane blends, copolymers of acrylonitrile, and the like. Other hydrophobic and hydrophilic coatings, as known to those of skill in the art, may also be used. In addition, any of a variety of antithrombogenic coatings, such as heparin, may also be applied to the catheter of the present invention, alone or in combination with other coating types.

Referring to Figures 4 and 5, there is illustrated a cross-sectional view of the distal end 14 of catheter 10. Distal end 14 is provided with a soft distal tip 50, which is not pre-formed with tubular body 16, but is instead attached to tubular body 16 as a tube post manufacturing step. Distal tip 50 is preferably soft enough and flexible enough, so as to minimize trauma to body vessels as catheter 10 is advanced, and also to facilitate navigation of catheter 10 in tortuous vessels. In one preferred embodiment, distal tip 50 is formed as a 0.5 cm sleeve of 25-40D Pebax (TM), and is bonded to tubular body 16 by heat fusing. Alternately, distal tip 50 may be attached to tubular body 16 by adhesives, or by insert molding, as is known to those of skill in the art. Preferably, distal tip 50 is in alignment with tubular body 16, and does not bend or curve, such that the radial axis of distal tip 50 is substantially the same as that of tubular body 16.

The distal end 14 of catheter 10 is also preferably provided with a radiopaque material 44. Advantageously, radiopaque material 44 serves as a marker to help the clinician position catheter 10 during a medical procedure. Various well-known radiopaque materials may be used in distal end 14, such as platinum, gold, and platinum iridium blends. The full length, or part of the length of the tubular body, may also be radiopaque by blending radiopaque materials in the polymeric materials used to form the body. Furthermore, radiopacity of the tip can also be achieved by loading (i.e., comparing) the distal tip 50 with a sufficient amount of barium suffate. Alternatively, bismuth subcarbonate, bismuth trioxide or bismuth oxychloride may be used as a radiopaque filler. Also, radiopacity may be achieved by using radiopaque wire or flat ribbon to make the braid or coil.

Illustrated in Figures 6-9, there is an emboli containment system utilizing catheter 10 of the present invention. Catheter 10 of the present invention is used in the treatment of a stenosis 55 in a lumen 50 in a blood-carrying vessel 58 in which the stenosis 55 at least partially occludes the lumen 50. The emboli containment system depicted in Figure 6 comprises a catheter 10, as described above, as well as catheters 100 and 200.

Catheter 100 comprises an elongate flexible tubular body 116 having proximal end and distal end 114. An inflatable balloon 126 of the same type as inflatable balloon 26 is coaxially mounted on tubular body 116 on the end 114 of catheter 100. The tubular body 116 has centrally disposed inflation lumen 132 in fluid communication with balloon 126, such that fluid passing through lumen 132 may be used to inflate balloon 126. Alternatively, fluid may be withdrawn from lumen 132 to deflate balloon 126. As shown in Figure 6, catheter 100 is disposed within main lumen 30 of catheter 10 and is slidably and coaxially mounted therein for variable displacement of balloon 126 with respect to the first balloon 26, as hereinafter described. One preferred embodiment of a catheter 100 is disclosed in our co-pending application, entitled HOLLOW MEDICAL WIRES AND METHODS OF CONSTRUCTING SAME, Serial No. 08/812,876, filed on March 6, 1997, the entirety of which is incorporated by reference.

The emboli containment system also comprises catheter 200 comprising an elongate flexible tubular body 216 having proximal end and distal end 214. Catheter 200 is also provided with a generally centrally disposed lumen

10

15

20

25

30

35

230 extending from the proximal end to the distal end of catheter 200, and through which catheter 100 is coaxially and slidably mounted.

The distal end 214 of catheter 200 is provided with means for performing a medical procedure, such as an apparatus for treating stenotic lesion 55. In the embodiment of the invention shown in Figure 6, this means comprises a dilatation balloon 226, which is preferably a non-compliant inflatable balloon which is coaxially mounted on the distal end 214 of catheter 200. Balloon 226 may also be attached to tubular body 216 in the same manner as balloons 26 and 126 hereinbefore described. Tubular body 216 is provided with a balloon inflation lumen 232 which is in fluid communication with balloon 226, such that balloon 226 may be inflated by the passage of fluid through lumen 232.

The operation and use of the emboli containment system utilizing the catheter of the present invention for treating occluded vessels may now be briefly described in connection with an occlusion formed by a stenosis in a carotid artery, as illustrated in Figures 10A-E.

Catheter 100 is inserted into an incision into a femoral artery of a patient and is advanced through that artery into the aorta of the patient and into the ostium of the carotid artery to be treated. After catheter 100 has been introduced, catheters 10 and 200, with balloons 26 and 226 completely deflated, are introduced over catheter 100 and are advanced into the ostium of the carotid artery and into the lumen or passageway of the vessel as shown in Figures 10A-E.

The emboli containment system is advanced until catheter 10 is proximal of a stenosis 55 in the vessel lumen 50 to be treated. Balloon 26 is then inflated by introducing a suitable inflation medium such as a radiopaque liquid into port 18 to cause it to pass through the balloon inflation lumen 32 to inflate balloon 26, as shown in Figure 10B. Balloon 26 is progressively inflated until it engages the side wall 58 of the vessel to occlude the lumen 50.

Catheter 100 is then advanced through stenosis 55 as shown in Figure 10C. Catheter 100 with deflated balloon 126 thereon is advanced through stenosis 55 until the balloon 26 is distal of stenosis 55 as shown in Figure 10D. Balloon 126 is then inflated by passing an inflation medium through lumen 132 to the interior of the balloon 126 to inflate the balloon 126 until it engages the sidewall 58 of the vessel lumen 50. As soon as the balloon 126 has been inflated, a working space is provided between balloons 26 and 126, so that medical procedures can be undertaken to remove or reduce the stenosis 55 in the space between second balloons 26 and 126, without risk of unwanted particles or emboli escaping into the blood stream.

For emboli containment systems featuring balloon dilatation treatment, it is desired to compress the plaque or material forming the stenosis to provide a larger vessel. Thus, catheter 200 is advanced over catheter 100 to cause distal end 214 with balloon 226 thereon to be advanced into the working space. As soon as balloon 226 has been properly positioned within stenosis 55, balloon 226 is inflated with a suitable inflation medium, as for example a radiopaque liquid. Balloon 226 can be inflated to the desired pressure to cause compression of the plaque of the stenosis 55 against the sidewall 58 of lumen 50 by the application of appropriate inflation pressure. As in conventional angioplasty procedures, balloon 226 can be formed of a non-elastic relatively non-compliant material so

10

15

that appropriate pressures, such as 10-15 atmospheres, can be used within balloon 226 to apply compressive forces to the vessel without danger of rupturing the vessel. It should be appreciated that the non-elastic capabilities can also be achieved by a composite elastic material.

Once the clinician is satisfied that the occlusion forming stenosis 55 has been sufficiently compressed, balloon 226 can be deflated. After the appropriate dilation of stenosis 55 has been accomplished, catheter 200 can be removed from the stenosis. Moreover, in one preferred method, catheter 200 is completely withdrawn from the emboli containment system, and an irrigation catheter is inserted over catheter 100 and through lumen 30, as described in our copending application entitled METHOD FOR EMBOLI CONTAINMENT, Serial No. 08/812,875, filed on March 6, 1997, the entirety of which is incorporated by reference. Fluid introduced into the working space may be removed by supplying a negative pressure or suction to aspiration port 20. This creates a negative pressure within space 30a defined by the interior surface of lumen 30 and outer tubular body 216, to suck or aspirate blood or other fluids in lumen 50 into space 30a and out of aspiration port 20. In this manner, irrigation and aspiration of the working space may take place so that any plaque coming off the occlusion forming the stenosis 55 can be aspirated out of the vessel. Subsequently, balloon 26 and balloon 126 can be deflated to permit normal blood flow through the vessel lumen 50. The entire catheter assembly can then be removed and a suture applied to the incision created to obtain access to the femoral artery.

It will be appreciated that certain variations of the present invention may suggest themselves to those skilled in the art. The foregoing detailed description is to be clearly understood as given by way of illustration, the spirit and scope of this invention being limited solely by the appended claims.

WHAT IS CLAIMED IS:

5

10

15

20

25

30

35

1. A catheter, comprising:

an elongate flexible tubular body having a proximal end and a distal end, the tubular body incorporating a metallic member;

a main lumen and an inflation lumen extending through the tubular body, the main lumen and inflation lumen being in a side-by-side configuration, the main lumen being sized to receive a therapeutic and/or diagnostic device;

an aspiration port on the proximal end of the tubular body, the aspiration port being in fluid communication with the main lumen;

an occlusive device on the distal end of the tubular body; and

a tip on the distal end of the tubular body, the tip being more flexible material than the tubular body.

- 2. The catheter of Claim 1, further comprising an inflation port at the proximal end of the tubular body, the inflation port being in fluid communication with the inflation lumen.
- 3. The catheter of Claim 1, wherein the inflatable balloon is formed of a block copolymer of styreneethylene-butylene-styrene.
- 4. The catheter of Claim 1, wherein the metallic member comprises a metallic braid formed of a metal selected from the group consisting of stainless steel, nitinol, platinum, gold, Elgiloy (TM) and combinations thereof.
- 5. The catheter of Claim 1, wherein the tubular body has a distal region that is more flexible than a proximal region of the tubular body.
- 6. The catheter of Claim 5, wherein the distal region of the tubular body is formed of a first combination of polymeric materials, and the proximal region of the tubular body is formed of a second combination of polymeric materials, and the first combination of polymeric materials is more flexible than the second combination of polymeric materials.
- 7. The catheter of Claim 4, wherein the metallic braid has a first braid density at a first point on the tubular body and a second braid density at a second point on the tubular body, the first braid density being greater than the second braid density.
- B. The catheter of Claim 4, wherein the metallic braid has a braid density that is substantially uniform along the length of the tubular body.
- 9. The catheter of Claim 1, wherein the main lumen is adapted to receive two or more separate catheters which are slidably disposed therein.
 - 10. A catheter, comprising:
 - an elongate flexible tubular body having a proximal end and a distal end;
 - a first and second lumen extending through the tubular body from the proximal end to the distal end, the first and second lumen being in a side-by-side configuration, the first lumen having a generally

10

15

20

25

30

35

circular cross-sectional configuration at the proximal end and a generally oval cross-sectional configuration at the distal end; and

wherein the second lumen has an internal diameter no smaller than 0.038 inches, and the second lumen is adapted to slidably accommodate a therapeutic and/or diagnostic device.

- 11. The catheter of Claim 10, further comprising an inflatable balloon mounted on the distal end of the tubular body.
- 12. The catheter of Claim 11, wherein the inflatable balloon is in fluid communication with the first lumen, such that fluid passing through the first lumen may be used to inflate or deflate the inflatable balloon.
- 13. The catheter of Claim 10, wherein the second lumen has a diameter no smaller than about 0.080 inches.
- 14. The catheter of Claim 10, wherein the second lumen is defined by a tubular body incorporating a metallic member.
 - 15. A catheter with variable stiffness, comprising:
 - a tubular body having a proximal end and a distal end;
 - a metallic member within the tubular body, the metallic member having a proximal portion and a distal portion, wherein the proximal portion has a lower density than the distal portion.
 - 16. The catheter of Claim 15, wherein the metallic member comprises a braid.
- 17. The catheter of Claim 15, wherein the proximal portion of the metallic member comprises a braid and the distal portion at the metallic member comprises a coil.
- 18. The catheter of Claim 15, wherein the proximal portion of the metallic member comprises a multilayer coil.
- 19. The catheter of Claim 15, further comprising a lubricous liner defining an inner lumen of the tubular body.
- 20. The catheter of Claim 19, wherein the PTFE liner extends longitudinally from the proximal end of the tubular body, and terminates prior to the distal end of the tubular body.
 - 21. A method of making a catheter tubular body, comprising the steps of:

 providing a first polymeric tube formed of a first material having a first melting point;

inserting the first polymeric tube into a second polymeric tube to form a combined tube, the second polymeric tube being formed of a second material having a second melting point which is less than the first melting point;

placing the combined tube adjacent a third tube, the third tube being formed in part of the second material; and

heating the tubes to a temperature greater than the second melting point but less than the first melting point, such that the combined tube melt fuses with third tube to form a catheter tubular body having two lumen extending therethrough in a side-by-side configuration.

10

15

20

25

30

- 22. The method of Claim 21, wherein the first material is selected from the group comprising polyimide, polyamide, PET and PEEK, blends thereof and the second material is selected from the group comprising Pebax (TM), polyethylene, nylon, or Hytrel (TM) or blends thereof.
- 23. The method of Claim 22, wherein the temperature of the heating step is from about 250° to 600°F.
 - The method of Claim 23, wherein the third tube incorporates a metallic braid.
 - 25. A catheter, comprising:

an elongate tubular body having a proximal end and a distal end, and comprising at least three regions including a distal region, a proximal region, and a transition region between said proximal and distal regions, said proximal region having a stiffness greater than that of said distal region, said transition region having a stiffness between that of said proximal and distal regions.

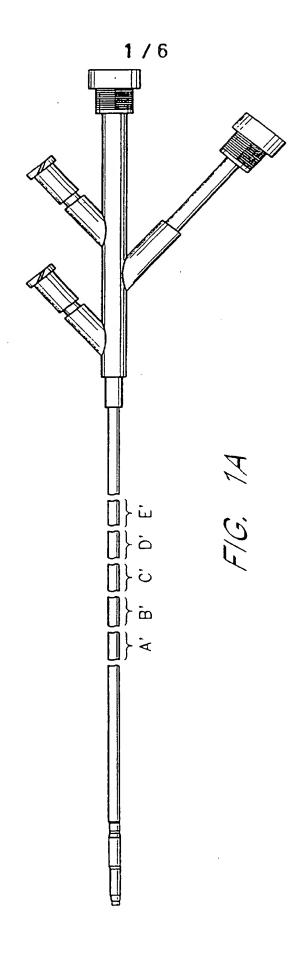
- 26. The catheter of Claim 25, wherein the transition region is at least 5 cm in length.
- 27. The catheter of Claim 25, wherein the transition region comprises plural sections which decrease in stiffness from a proximal end of the transition region to a distal end of the transition region.
- 28. The catheter of Claim 27, wherein the transition region comprises at least 2 sections and no more than 5 sections.
 - 29. The catheter of Claim 27, wherein each section is 1-6 cm in length.
 - 30. The catheter of Claim 27, wherein each section is 2-3 cm in length.
- 31. The catheter of Claim 27, wherein at least one of the segments has a durometer on the order of 8-10 percent lower than an adjacent segment.
 - 32. The catheter of Claim 25, comprising a tip at a distal end of said tubular body, said tip being bent relative to said tubular body.
 - 33. A catheter comprising:

an elongate flexible tubular body having a proximal end and a distal end;

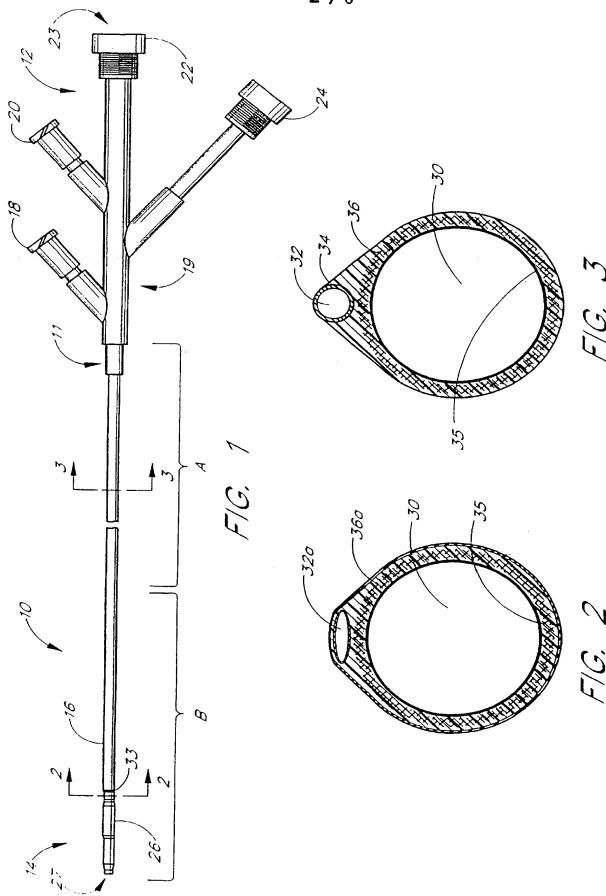
a main lumen extending through the tubular body, the main lumen being sized to receive a therapeutic and/or diagnostic device;

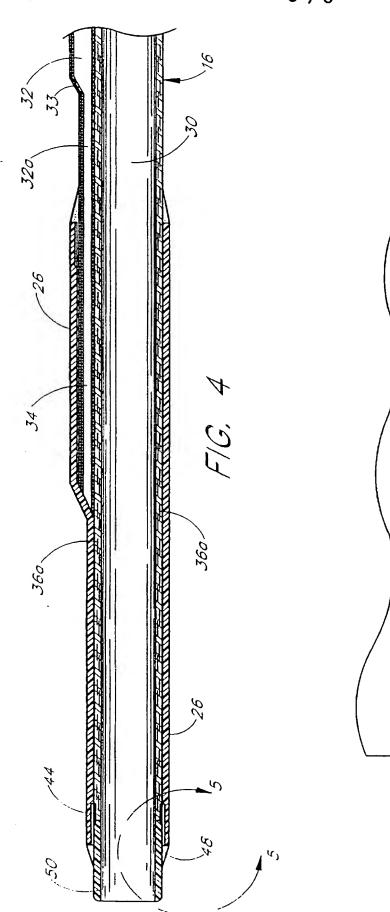
an occlusive device on the distal end of the tubular body; and

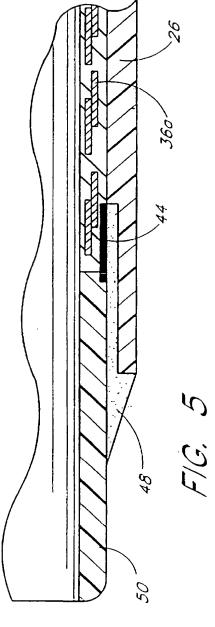
- a tip on the distal end of the tubular body, the tip being distal of said occlusive device and formed of a more flexible material than that which forms the tubular body, said tip being bent at an angle relative to said tubular body.
- 34. The catheter of Claim 33, wherein said tip has an end which is at least 1cm from a distal end of said occlusive device.
- 35. The catheter of Claim 33, wherein said occlusive device comprises a complaint balloon, said tubular body further comprising an inflation lumen for inflating said balloon.

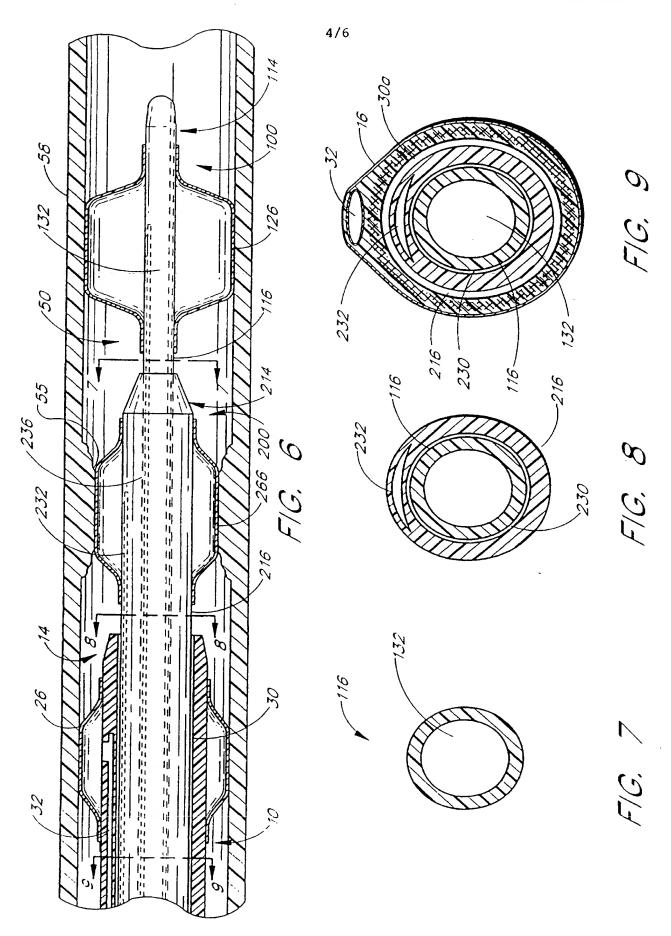












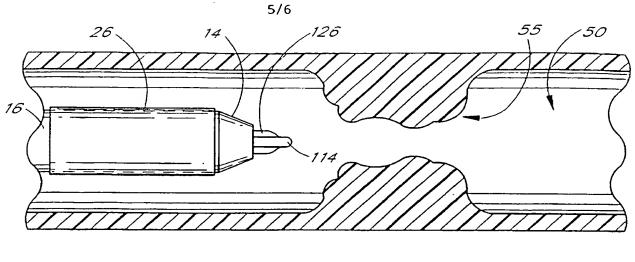
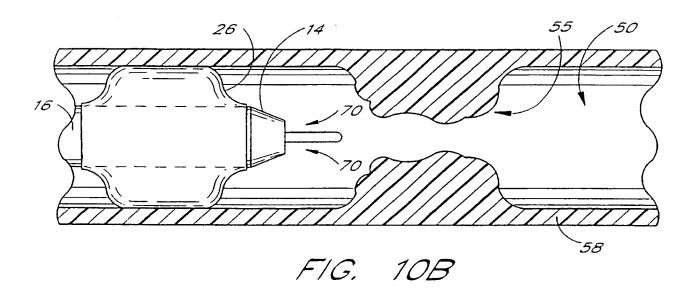


FIG. 10A



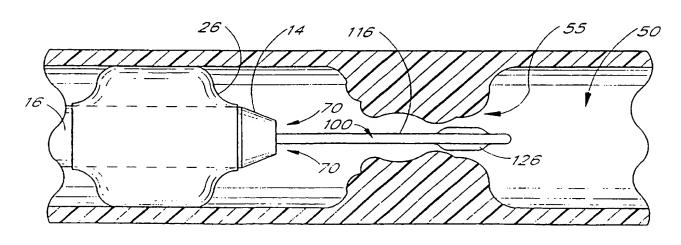
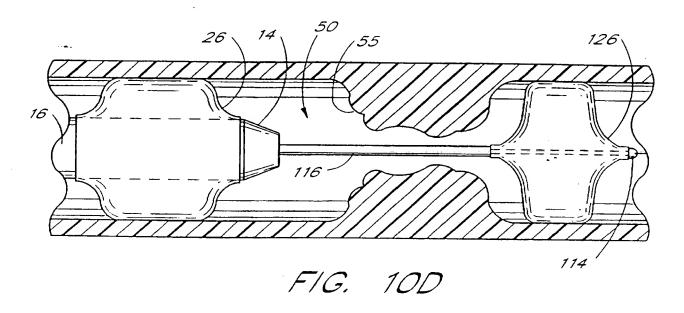
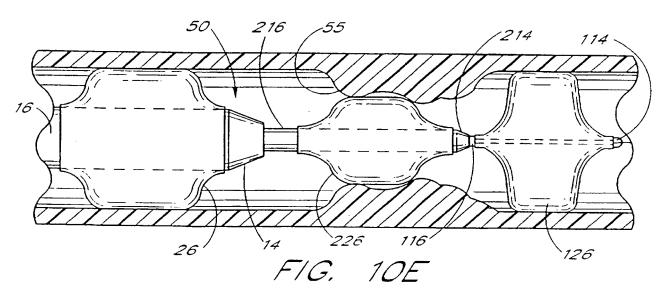


FIG. 10C





PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶:

A61M 25/00

A3

(11) International Publication Number: WO 97/44082

(43) International Publication Date: 27 November 1997 (27.11.97)

(21) International Application Number: PCT/US97/08467

(22) International Filing Date: 19 May 1997 (19.05.97)

(30) Priority Data:

08/650,464 20 May 1996 (20.05.96) US 08/813,023 6 March 1997 (06.03.97) US

(60) Parent Application or Grant

(63) Related by Continuation
US
08/813,023 (CIP)
Filed on
6 March 1997 (06.03.97)

(71) Applicant (for all designated States except US): PER-CUSURGE, INC. [US/US]; 777 N. Pastoria Avenue, Sunnyvale, CA 94086 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BAGAOISAN, Celso, J. [-/US]; 4441 Pomponi Street, Union City, CA 94587 (US). HA, Hung, V. [-/US]; 2359 Denair Avenue, San Jose, CA 95122 (US). PATEL, Mukund, R. [-/US]; 427 Ridgefarm Drive, San Jose, CA 95123 (US). ZADNO-AZIZI, Gholam-Reza [-/US]; 8213 Del Monte Avenue, Neward, CA 94560 (US).

(74) Agent: ALTMAN, Daniel, E.; Knobbe, Martens, Olson and Bear, 16th floor, 620 Newport Center Drive, Newport Beach, CA 92660 (US).

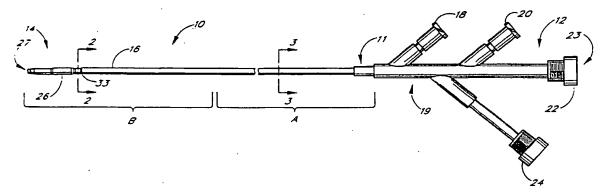
(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(88) Date of publication of the international search report: 30 April 1998 (30.04.98)

(54) Title: CATHETER FOR EMBOLI CONTAINMENT SYSTEM



(57) Abstract

Disclosed herein is a catheter for use in an emboli containment system. In one embodiment, the catheter has a tubular body with a metallic braid construction. Two lumen extend through the tubular body, the lumen being in a side-by-side configuration. One of the lumen functions as an inflation lumen, and is in fluid communication with an inflatable balloon mounted on the distal end of thecatheter. The second lumen is adapted to receive other therapeutic catheters which comprise the emboli containment system.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	2**	Zillibabwe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

Interr nat Application No PCT/US 97/08467

A. CLASSIF	ICATION OF SUBJECT MATTER	
IPC 6	A61M25/00	

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 470 322 A (HORZEWSKI) 28 November 1995 see the whole document	1,2,4-6,
X	WO 95 08364 A (STANFORD SURGICAL TECHNOLOGIES) 30 March 1995 see page 22, line 1 - page 23, line 2; figures	1,2,4-9, 33-35
Α	WO 93 20881 A (SCIMED LIFE SYSTEMS) 28 October 1993 see page 4, line 19 - page 6, line 33; figures 1-3	1,2,4-9
P,A	US 5 527 325 A (CONLEY) 18 June 1996 see column 3, line 60 - column 4, line 9; figures	21-24

X Further documents are listed in the continuation of box C.	Y Patent family members are listed in annex.
*Special categories of cited documents: *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention. "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone. "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
29 September 1997	0 4. 03. 98
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer KOUSOURETAS, I

Interr. nal Application No
PCT/US 97/08467

		PCI/US 9	
C.(Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
A	US 4 405 313 A (SISLEY) 20 September 1983 see column 4, line 52 - column 5, line 41; figures 2,3		21
A	US 4 619 643 A (BAI) 28 October 1986 see column 8, line 32 - column 16, line 5; figures		21
- 4			
	•		
			·
n			

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

In ational application No.

PCT/US 97/08467

Boxi	Observations where certain claims were found unsearchable (Continuation of item 1 of first sneet)
This Inte	rnational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inter	rnational Searching Authority found multiple inventions in this international application, as follows:
	see annex
1	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims
2	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-9,21-24,33-35
Remark	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Multiple inventions:

- 1. Claims: 1-9,21-24,33-35 A catheter comprising a tubular body incorporating a metallic member, a main lumen and an inflation lumen, an aspiration port, an occlusive device and a tip on the distal end of the tubular body, the tip being more flexible material than the tubular body, said tip being bent at an angle relative to said tubular body. Method of making a catheter tubular body.
- 2. Claims: 10-14
 A catheter comprising a tubular body, a first and second lumen, the first lumen having a circular configuration at the proximal end and an oval cross-sectional configuration at the distal end, wherein the second lumen has an internal diameter no smaller than 0.038 inches.
- 3. Claims: 15-20
 A catheter comprising a tubular body having a metallic member, wherein the proximal portion of the metallic member has a lower density than the distal portion.
- 4. Claims: 25-32
 A catheter comprising a tubular member having three regions with different stiffness.

Information on patent family members

Intern. al Application No PCT/US 97/08467

US 5470322 A WO 9508364 A	28-11-1995 30-03-1995	AU 7 CA 2	685161 B 719794 A 2171097 A	15-01-1998 10-04-1995 30-03-1995
WO 9508364 A	30-03-1995	AU 7 CA 2	719794 A	10-04-1995
		US 5	0719161 A 0502889 T 0584803 A 0558644 A 0702368 A	03-07-1996 25-03-1997 17-12-1996 24-09-1996 30-12-1997
WO 9320881 A	28-10-1993	CA 2 DE 9 EP 6 EP 6	338295 A 2117807 A 9321315 U 9634943 A 9821979 A 7505795 T 5533987 A	16-08-1994 28-10-1993 20-02-1997 25-01-1995 04-02-1998 29-06-1995 09-07-1996
US 5527325 A	18-06-1996	us s	669920 A	23-09-1997
US 4405313 A	20-09-1983	NONE		
US 4619643 A	28-10-1986	NONE		

This Page Blank (uspto)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

This Page Blank (uspto)